



# UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE  
United States Patent and Trademark Office  
Address: COMMISSIONER FOR PATENTS  
P.O. Box 1450  
Alexandria, Virginia 22313-1450  
www.uspto.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/044,558	01/11/2002	Jian Fan	10018003-1	9516

7590 12/20/2006  
HEWLETT-PACKARD COMPANY  
Intellectual Property Administration  
P.O. Box 272400  
Fort Collins, CO 80527-2400

EXAMINER
----------

LE, BRIAN Q

ART UNIT	PAPER NUMBER
----------	--------------

2624

SHORTENED STATUTORY PERIOD OF RESPONSE	MAIL DATE	DELIVERY MODE
3 MONTHS	12/20/2006	PAPER

**Please find below and/or attached an Office communication concerning this application or proceeding.**

If NO period for reply is specified above, the maximum statutory period will apply and will expire 6 MONTHS from the mailing date of this communication.

# Office Action Summary

Application No.

10/044,558

Applicant(s)

FAN, JIAN

Examiner

Brian Q. Le

Art Unit

2624

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

## Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

## Status

- 1) ☒ Responsive to communication(s) filed on 10 October 2006.
- 2a) ☒ This action is **FINAL**. 2b) ☐ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

## Disposition of Claims

- 4) ☒ Claim(s) 1-3,5-17,20 and 22-26 is/are pending in the application.
- 4a) Of the above claim(s) \_\_\_\_\_ is/are withdrawn from consideration.
- 5) ☐ Claim(s) \_\_\_\_\_ is/are allowed.
- 6) ☒ Claim(s) 1-3,5,6,8,10,15,17 and 23-26 is/are rejected.
- 7) ☒ Claim(s) 7, 9, 11-14, 20, and 22 is/are objected to.
- 8) ☐ Claim(s) \_\_\_\_\_ are subject to restriction and/or election requirement.

## Application Papers

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☐ The drawing(s) filed on \_\_\_\_\_ is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.  
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).  
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

## Priority under 35 U.S.C. § 119

- 12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All b) ☐ Some \* c) ☐ None of:
1. ☐ Certified copies of the priority documents have been received.
2. ☐ Certified copies of the priority documents have been received in Application No. \_\_\_\_\_.
3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

\* See the attached detailed Office action for a list of the certified copies not received.

## Attachment(s)

- 1) ☒ Notice of References Cited (PTO-892)
- 2) ☐ Notice of Draftsperson's Patent Drawing Review (PTO-948)
- 3) ☐ Information Disclosure Statement(s) (PTO/SB/08)  
Paper No(s)/Mail Date \_\_\_\_\_.
- 4) ☐ Interview Summary (PTO-413)  
Paper No(s)/Mail Date. \_\_\_\_\_.
- 5) ☐ Notice of Informal Patent Application
- 6) ☐ Other: \_\_\_\_\_.

### **Response to Amendment and Arguments**

1. Applicant's amendment filed October 10, 2006, has been entered and made of record.
2. Applicant's arguments, see Remarks, filed 10/10/2006, with respect to the rejection(s) of claim(s) 1, 23, and 26 under 35 U.S.C. 102(b) have been fully considered and are persuasive.

Therefore, the rejection has been withdrawn. However, upon further consideration, a new ground(s) of rejection is made in view of Lee et al. U.S. Patent No. 5,583,659.

### ***Claim Rejections - 35 USC § 102***

3. The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless –

(b) the invention was patented or described in a printed publication in this or a foreign country or in public use or on sale in this country, more than one year prior to the date of application for patent in the United States.

4. Claims 1-3, 6 and 23-26 are rejected under 35 U.S.C. 102(b) as being anticipated by Lee et al. U.S. Patent No. 5,583,659.

Regarding claim 1, Lee teaches a method to identify text-like pixels (characters) from an image (column 5, lines 63-65), the method comprising:

Classifying pixels within a mask within the image as either edge or non-edge (column 4, lines 23-25), wherein a pixel (i,j) is located at the center of mask (abstract and column 4, line 5);

Determining whether the pixel (i,j) is an edge pixel or a non-edge pixel (column 4, lines 23-25); and

Performing edge-bounded averaging (column 4, lines 29-31), wherein the edge-bounded averaging includes finding one of either:

An average value of edge pixels having connectivity with pixel (i,j), in response to determining that pixel (i,j) is an edge pixel (Lee clearly teaches that pixels are classified by comparing their intensity values against average intensity values for local N-by-N pixel windows/connectivity specifically only for edge pixels) (column 4, lines 29-33).

For claim 2, Lee further teaches the method further comprising:

(c) examining sub-blobs of pixels (the analysis of gradient strength by pixel in pixel window) within the image (column 7, lines 35-45); and

(d) performing sub-blob connectivity analysis (perform analysis determine whether the pixel is in a vicinity of edge in a window of pixels of the image) (column 7, lines 55-67).

Referring to claim 3, Lee teaches the method further comprising:

(e) identifying and classifying edges of pixels within the image (column 4, lines 23-25);

(f) performing filling to further classify pixels within the image (the step of re-assigning, correcting and merging pixels into either black or white pixels using gray-scale analysis) (column 8, lines 1-33).

(g) performing consistency analysis of pixels within the image (a test to determine whether pixel lies in a vicinity of an edge of an image) (column 7, lines 59-62).

(h) performing pixel connectivity analysis of pixels within the image (perform analysis determine whether the pixel is in a vicinity of edge in a window of pixels of the image) (column 7, lines 55-67); and

(i) identifying text pixels within the image (column 5, line 64 to column 6, line 8).

Art Unit: 2624

For claim 6, Lee discloses the method further comprising smoothing the image (to classify pixels in image region of uniform tone and to remove boundary artifacts) (column 12, lines 50-52 and column 13, lines 10-12).

For claim 23-25, please refer back to claims 1-3 for the teaching and explanations.

Regarding claim 26, please refer back to claim 1 for further teachings and explanations.

In addition, Lee teaches a computer readable storage medium with computer programs comprising instructions to process digital image and aforementioned limitations in claim 1 (system with digital processor) (column 6, lines 15-44).

***Claim Rejections - 35 USC § 103***

5. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

6. Claim 5 is rejected under 35 U.S.C. 103(a) as being unpatentable over the combination of Lee et al. U.S. Patent No. 6,160,913 and Kodaira et al. U.S. 6,868,183 as applied to claim 1 above.

Regarding claim 5, Lee does not explicitly teach the method comprising performing color space conversion of the image. Kodaira teaches a method of processing text-like pixels (column 4, lines 58-65) comprises a color space conversion mean (column 16, lines 1-20). Modifying Lee's method of processing text-like pixels according to Kodaira would be able to allow the color conversion capable from one color space to another. This would improve processing and

Art Unit: 2624

therefore, it would have been obvious to one of the ordinary skill in the art to modify Lee according to Kodaira.

7. Claims 8, 10, and 15-17 are rejected under 35 U.S.C. 103(a) as being unpatentable over the combination of Lee et al. U.S. Patent No. 6,160,913 and Hashimoto et al. U.S. 6,987,045 as applied to claims 1-3 above.

Regarding claim 8, as discussed in claims 1-3, Lee teaches a method of pixels classification and edge processing. However, Lee does not explicitly teaches the method of classifying edges of pixels wherein pixels can be classified as non edge, white edge or black edge. Hashimoto teaches a method of processing text-like of the image (character edge processing) (abstract) wherein pixels are classified as non edge (column 11, lines 42-47). Modifying Lee's method of processing text-like pixels according to Hashimoto would able to classify pixels of image to more specific regions whether black edge, white edge or no edge for further processing. This would improve processing and therefore, it would have been obvious to one of the ordinary skill in the art to modify Lee according to Hashimoto.

For claim 10, Hashimoto also teaches the method wherein classifying line segments of pixels starting from a first side of a line proceeding to a second side of the line identifying consecutive segments of pixels as non edge, white edge or black edge (column 4, lines 64-67 to column 5, lines 1-11).

As to claim 15, Lee discloses the method wherein step (h) performing pixel connectivity analysis of pixels within the image (perform analysis determine whether the pixel is in a vicinity of edge in a window of pixels of the image) (column 7, lines 55-67) comprises:

Art Unit: 2624

Identifying aggregates of pixel having been identified as candidates for text, the aggregates being sub-blobs (sum of all the gradient intensity values in a window) (column 10, lines 20-25); and

Collecting statistics with respect to each sub-blob, wherein said statistics are selected from the group consisting of total number of pixels (absolute sum) (column 7, lines 15-40).

Regarding claim 16, Hashimoto further teaches the method wherein step (c) examining sub-blobs of pixels within the image comprises: examining each sub-blob to determine whether it is non text (the process of differentiate in gradation of target pixel and each of the eight adjacent pixels to determine non-edge which also is non text since Hashimoto teaches text's edge processing) (column 4, lines 64-67 to column 5, lines 1-11).

Regarding claim 17, please refer back to claims 10 and 16 for further teachings and explanations.

***Allowable Subject Matter***

9. Claims 7, 9, 11-14, 20, and 22 are objected to as being dependent upon a rejected base claim, but would be allowable if rewritten in independent form including all of the limitations of the base claim and any intervening claims.

***Conclusion***

10. Applicant's amendment necessitated the new ground(s) of rejection presented in this Office action. Accordingly, **THIS ACTION IS MADE FINAL**. See MPEP § 706.07(a). Applicant is reminded of the extension of time policy as set forth in 37 CFR 1.136(a).

A shortened statutory period for reply to this final action is set to expire **THREE MONTHS** from the mailing date of this action. In the event a first reply is filed within **TWO MONTHS** of the mailing date of this final action and the advisory action is not mailed until after the end of the **THREE-MONTH** shortened statutory period, then the shortened statutory period will expire on the date the advisory action is mailed, and any extension fee pursuant to 37 CFR 1.136(a) will be calculated from the mailing date of the advisory action. In no event, however, will the statutory period for reply expire later than **SIX MONTHS** from the date of this final action.



**Contact Information**

11. Any inquiry concerning this communication or earlier communications from the examiner should be directed to Brian Q. Le whose telephone number is 571-272-7424. The examiner can normally be reached on 8:30 A.M - 5:30 P.M.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Jingge Wu can be reached on 571-272-7429. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).

BL  
December 13, 2006

JINGGE WU  
PRIMARY EXAMINER

